## Investor Complaints – RESEARCH ANALYST:

## Data for month Oct ' 24

Sr. No.	Received from	Pending at the end of last month	Receive d	Resol ved *	Total Pending #	Pending complaint s > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	1^^^	0	1	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	1	0	1	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current

^^^Case received from SCORES pertains to scam run by individual posing as from Barclays – Complainant is not our client. The case was closed in August in SCORES, however we were not aware of the same till October. Hence, taken in October Closure.

## **Trend of monthly disposal of complaints**

Sr.	Month	Carried forward	Received	Resolved*	Pending#
No.		from previous month			
1	Nov – 2023	0	0	0	0
2	Dec - 2023	0	0	0	0
3	Jan – 2024	0	0	0	0
4	Feb – 2024	0	0	0	0
5	Mar – 2024	0	0	0	0
6	Apr - 2024	0	0	0	0
7	May - 2024	0	0	0	0
8	June - 2024	0	0	0	0
9	Jul – 2024	0	0	0	0
10	Aug- 2024 ^^^	0	1	0	1
11	Sep- 2024	1	0	0	1
12	Oct - 2024	1	0	1	0
	Grand Total	2	1	1	2

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

^^^Case received from SCORES pertains to scam run by individual posing as from Barclays – Complainant is not our client. The case was closed in August in SCORES, however we were not aware of the same till October. Hence, taken in October Closure.

## Trend of annual disposal of complaints

SN	Year	Carried forward from	Received	Resolved**	Pending##
		previous year			
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	1^^^	1	0
	Grand Total	0	1	1	0

<sup>\*\*</sup> Inclusive of complaints of previous years resolved in the current year.## Inclusive of complaints pending as on the last day of the year

^^^Case received from SCORES pertains to scam run by individual posing as from Barclays – Complainant is not our client.