**Investor Complaints – RESEARCH ANALYST:**

**Data for month Nov ' 24**

| Sr. No. | Received from | Pending at the end of last month | Received | Resolved* | Total Pending # | Pending complaints > 3months | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current

**Trend of monthly disposal of complaints**

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---|---|---|---|---|---|
| 1 | Nov - 2023 | 0 | 0 | 0 | 0 |
| 2 | Dec - 2023 | 0 | 0 | 0 | 0 |
| 3 | Jan - 2024 | 0 | 0 | 0 | 0 |
| 4 | Feb - 2024 | 0 | 0 | 0 | 0 |
| 5 | Mar - 2024 | 0 | 0 | 0 | 0 |
| 6 | Apr - 2024 | 0 | 0 | 0 | 0 |
| 7 | May - 2024 | 0 | 0 | 0 | 0 |
| 8 | June - 2024 | 0 | 0 | 0 | 0 |
| 9 | Jul - 2024 | 0 | 0 | 0 | 0 |
| 10 | Aug- 2024 ^^^ | 0 | 1 | 0 | 1 |
| 11 | Sep- 2024 | 1 | 0 | 0 | 1 |
| 12 | Oct - 2024 | 1 | 0 | 1 | 0 |
| 13 | Nov - 2024 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **2** | **1** | **1** | **2** |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**^^^Case received from SCORES pertains to scam run by individual posing as from Barclays – Complainant is not our client. The case was closed in August in SCORES , however we were not aware of the same till October. Hence, taken in October Closure.**

**Trend of annual disposal of complaints**

| SN | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|---|---|---|---|---|---|
| 1 | 2018-19 | 0 | 0 | 0 | 0 |
| 2 | 2019-20 | 0 | 0 | 0 | 0 |
| 3 | 2020-21 | 0 | 0 | 0 | 0 |
| 4 | 2021-22 | 0 | 0 | 0 | 0 |
| 5 | 2022-23 | 0 | 0 | 0 | 0 |
| 6 | 2023-24 | 0 | 0 | 0 | 0 |
| 7 | 2024-25 | 0 | 1^^^ | 1 | 0 |
| | **Grand Total** | **0** | **1** | **1** | **0** |

** Inclusive of complaints of previous years resolved in the current

year.## Inclusive of complaints pending as on the last day of the

year

**^^^Case received from SCORES pertains to scam run by individual posing as from Barclays – Complainant is not our client.**