Investor Complaints – PMS:

Data for month ending Aug ' 24

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	1	0	1	0	0
2	SEBI (SCORES)	0	2^^	0	2	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	3	0	3	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

^^^Cases received from SCORES pertained to scams run by individual posing as from Barclays – These complainants are not our clients.

Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
5	Sep - 2023	0	0	0	0
6	Oct - 2023	0	0	0	0
7	Nov - 2023	0	1	1	0
8	Dec - 2023	0	0	0	0
9	Jan - 2024	0	0	0	0
10	Feb - 2024	0	0	0	0
11	Mar - 2024	0	0	0	0
12	Apr - 2024	0	1	1	0
13	May - 2024	0	0	0	0
14	June - 2024	0	1	0	1
15	Jul - 2024	1	0	1	0
16	Aug - 2024	0	3^	0	3
	Grand Total	**1**	**6**	**3**	**4**

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	1	1	0
4	2021-22	0	0	0	0
5	2022-23	0	3	3	0
6	2023-24	0	1	1	0
7	2024-25	0	5	2	3
	Grand Total	0	10	7	3

** Inclusive of complaints of previous years resolved in the current

year.## Inclusive of complaints pending as on the last day of the

year.