Investor Complaints – INVESTMENT ADVISORY:

Data for month Oct '24

Sr. No	Received from	Pending at the end of last month	Recei-ved	Resol ved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	1	0	0	1	0	0
2	SEBI (SCORES)	2^^^	0	2	0	0	22.5
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	3	0	2	1	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

^^^Cases received from SCORES pertains to scam run by individual posing as from Barclays – Complainants are not our clients. One of the case was closed in September in SCORES, however we were not aware of the same till October. Hence, taken in October closure.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Nov - 2023	0	0	0	0
2	Dec - 2023	0	0	0	0
3	Jan - 2024	0	0	0	0
4	Feb - 2024	0	0	0	0
5	Mar - 2024	0	0	0	0
6	Apr - 2024	0	0	0	0
7	May - 2024	0	0	0	0
8	Jun - 2024	0	0	0	0
9	Jul - 2024	0	0	0	0
10	Aug - 2024	0	2	0	2
11	Sep - 2024	2	1	0	3
12	Oct - 2024	3	0	2	1
	Grand Total	5	3	2	6

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	0	1	1	0
2	2019-20	0	6	6	0
3	2020-21	0	1	1	0
4	2021-22	0	1	1	0
5	2022-23	0	1	1	0
6	2023-24	0	0	0	0
7	2024-25	0	3 ^^^	2	1
	Grand Total	0	13	12	1

** Inclusive of complaints of previous years resolved in the current

year.## Inclusive of complaints pending as on the last day of the

year.

^^^Out of the 3 cases, 2 complaints received from SCORES pertains to scam run by individual posing as from Barclays – Complainants are not our clients.